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PTO/SB/21 (04-04) Approved for use through 07/31/2006. OMB 0651-0031

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TRANSMITTAL FORM (to be used for all correspondence after initial file	Application Number Filling Date First Named Inventor	10/756,177 01/13/2004 Charles W. Champ 1616	
Total Number of Pages in This Submission	Allomey bocket Number	18002PCTUSCIP	
	ENCLOSURES (Check all that		
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request X Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addre Terminal Disclaimer  Request for Refund  CD, Number of CD(s)	After Allowance communication to Technology Center (TC)  Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please Identify below):	
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Firm or Individual name Crutsinger & Booth, LI Signature  Date August 11, 2004	C by Todd E. Albanesi ノ		
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Attorney Docket No.: 18002PCTUSCIP

Charles W. Champ, et al.

Art Unit: 1616

Serial No.

8002PCTUSCIP

10/756,177

Examiner:

Filed: January 13, 2004

For:

**HOST-GUEST PROCESSES AND FORMULATIONS** 

FOR DELIVERING BIO-AFFECTING COMPOUNDS

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR §§ 1.56, 1.97, and 1.98 and MPEP 609, Applicant submits herewith a completed Form PTO/SB/08A, "Information Disclosure Statement by Applicant".

Consideration by the Examiner of each of the documents listed on Form PTO/SB/08A is respectfully requested. Applicant respectfully requests that a copy of PTO/SB/08A, as considered and initialed by the Examiner, be returned to the undersigned with the next Official Communication.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made. 37 CFR § 1.97(g). Furthermore, the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in this Statement is, or is considered to be, prior art, analogous art, or material to patentability of this application, but the information has been cited to make it clear beyond all doubt that Applicant's duty of disclosure has been complied with. 37 CFR § 1.97(h).

This Information Disclosure Statement is being filed before the Applicant is aware of any mailing date of a first Office Action on the merits. Applicant does not believe that a certification or fee is required for this Information Disclosure Statement.

The Commissioner of Patents is hereby authorized to charge any fees relating to this Information Disclosure Statement under 37 CFR § 1.17 to Deposit Account No. 50-3037. A duplicate copy of this fee authorization sheet is enclosed for this purpose.

Date: August 11, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner of Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on:

August 11, 2004
Date of Deposit

Todd E. Albanesi

Name of Applicant, Assignee or Registered Representative

Signature

August 11, 2004
Date of Signature

Respectfully submitted,

Todd E. Albanesi, Reg. No. 36,426 CRUTSINGER & BOOTH 1601 Elm Street, Suite 1950 Dallas, Texas 75201-4744 (214) 220-0444; Fax (214) 220-0445

Attorneys for Applicant

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PTO/SB/08a (05-03)

18002PCTUSCIP

Approved for use through 05/31/2003. OMB 0651-0031
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Attorney Docket Number

Sheet U. S. PATENT DOCUMENTS Name of Patentee or Pages, Columns, Lines, Where **Publication Date** Cite No.1 **Document Number** Examiner MM-DD-YYYY Applicant of Cited Document Relevant Passages or Relevant Initials\* Figures Appear Number-Kind Code<sup>2</sup> (F known) 5,518,730 05/21/1996 Fuisz US-Capelli 5,326,567 07/05/1994 US-Beer, et al. 06/23/1998 5,770,453 US-US-US-US-US-US-US-ÜS-USus-US-US-US-US-US-

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ <sup>Δ</sup>
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Signature		Considered	
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